INSTRUMENTATION IN RAMAN SPECTROSCOPY: ELEMENTARY THEORY AND PRACTICE

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OUTLINE

- Raman instruments, elementary theory: J.Dubessy
- Calibration: M.C. Caumon
- From the laboratory to the field: F. Rull
- Coupling with other techniques: S. Sharma

Raman instruments, elementary theory

- Initially, Raman a physics curiosity: low intensity signals
- The lasers and electronic detection (PM): crystals, gases, liquid studies in physical-chemistrycrystallography laboratories
- Raman microprobes: 1975-1978: Rosasco (USGS) and Delhaye-Dhamelincourt (LASIR, Lille, France) + instrument company.
- CCD detectors in Raman microprobes + laser rejection by filters

Where is the information in a Raman spectrum ?



Raman shift: in relative wavenumbers with respect to the excitation radiation

 λ_0 wavelength of the excitation radiation => absolute wavenumber:

$$\overline{\nu_0} = 1/\lambda_0$$

1 μm ⇔ 10000 cm⁻¹; 0.5 μm = 500 nm ⇔ 20000 cm⁻¹

 $V_{R,j}$ Raman wavenumber => absolute wavenumber for a stokes Raman line:

$$\overline{\nu_{R,j}^{abs}} = \overline{\nu_0} - \overline{\nu_{R,j}}$$

$$\lambda_{R,j} = 1 / \overline{\nu_{R,j}^{abs}} = 1 / \left(\overline{\nu_0} - \overline{\nu_{R,j}} \right)$$

wavelength of the Raman line

Raman shift in wavelength:

$$\Delta \lambda_{R,j} = \lambda_{R,j} - \lambda_0$$

Raman shift: in relative wavenumbers with respect to the excitation radiation

Stokes Raman shift (4000 cm⁻¹) in wavelength:

λ_0 (nm)	$\overline{\nu_0}$ (cm ⁻¹)	$\overline{\mathcal{V}_{R,j\mathrm{max}}^{abs}}$ (cm ⁻¹)	$\lambda_{R,\max}$ (nm)	$\Delta \lambda_{R,\max}(nm)$
250	40000	36000	277.7	27.7
400	25000	21000	476.2	76.2
500	20000	16000	625.0	125.0
660	15151	11151	896.7	236.7
785	12739	8739	1144.3	359.3
1064	9398	5398	1852.5	788.5

The Raman spectrum is scattered over a larger spectral interval range in wavelength for red excitations than for green or UV excitation lines

A precision of 1 cm⁻¹ \Leftrightarrow to 6.3×10⁻³ nm = 6.2×10⁻² Å for the 250 nm excitation

A precision of 1 cm⁻¹ \Leftrightarrow 6.1×10⁻² nm = 0.61 Å for the 785 nm excitation

Raman line intensities: orders of magnitude estimated by radiometric calculations



Raman line intensities: orders of magnitude estimated by radiometric calculations

$$N_{\overline{v}_0-\overline{v}_R} = \frac{N_{\overline{v}_0}}{A} \left(\frac{d\sigma}{d\Omega}\right) (\Delta\Omega)(N_m)$$

 $N_{\overline{v_0}}(1s) = W_{\overline{v_0}} / [E_{1\,photon}(\lambda_0)] \qquad W_{\overline{v_0}} = 0.1 \,\text{Watt} = 0.1 \,\text{J.s}^{-1}$ $E_{1\,photon}(\lambda_0) = h(c/\lambda_0) \approx 6.62 \times 10^{-34} (3 \times 10^8 / 0.5 \times 10^{-7}) \approx 4 \times 10^{-18} \,\text{J} \qquad N_{\overline{v_0}}(1s) = 4 \times 10^{17} \,\text{photons}$

$$\left(\frac{d\sigma}{d\Omega}\right) \approx 10^{-35} \text{ to } 10^{-33} \text{ m}^2.\text{sr}^{-1} \qquad \Delta\Omega = 1 \text{ sr} \qquad N_m = \rho AL \implies N_m / A = \rho L$$
$$\rho = \frac{10^3}{0.02/(6.02 \times 10^{23})} \approx 3 \times 10^{28} \text{ molecules.m}^{-3} \quad L = 0.01 \text{ m}$$

$$N_{\overline{\nu_0}-\overline{\nu_R}} = 4 \times 10^{17} \times (10^{-35} \text{ to } 10^{-33}) \times 3 \times 10^{28} \times 10^{-2} = 10^9 \text{ to } 10^{11}$$

Raman line intensities: orders of magnitude estimated by radiometric calculations

Monochromatic luminance of the light of the sun at 0.5 μm wavelength with 1 cm^-1 line width



0.02 à 2 Raman photons s⁻¹ !

a narrow band photographic filter to create monochromatic light (violet), and a filter (yellow-green) to block violet monochromatic light



I. Das Spektrum des zerstreuten Lichtes.

First Raman experiment



Raman experiment and eyes !



Figures of merit of a Raman spectrometer

• excitation source: high power and stable monochromatic source

$$N_{\overline{\nu_0}-\overline{\nu_R}} = 10^7 \text{ to } 10^{11}$$

$$N_{\overline{v_0},Rayleigh} = 10^{12} \text{ to } 10^{13}$$
 $N_{\overline{v_0},reflection} = 10^{15} \text{ to } 10^{17}$

- high rejection of the excitation wavelength
- high transmission of the dispersive system and high spectral resolution
- high efficiency detector

The different elements constitutive of a Raman (micro)-spectrometer



The excitation sources



End of Ar+ / Kr+ lasers in 2013-2015 ?

The excitation source: lasers

Laser = Light amplification by stimulated emission of radiation: 1957-1960

Charles Hard Townes, Arthur Leonard Schawlaw (Bell labs); Gordon Gould (Columbia University); Theodore H. Maiman (Hugue Research lab)



+ optical resonator to promote stimulated emission rather than spontaneous emission with two mirrors (1 highly reflective at the rear and another partially reflective near 99% at the head)

The excitation source: lasers Transverse modes

Resonator modes can be divided into two types: <u>longitudinal modes</u>, which differ in frequency from each other; and <u>transverse modes</u>, which may differ in both frequency and the <u>intensity</u> pattern of the light.



Polarization of the laser beam

At the Brewster incidence angle, the windows transmit all light polarized parallel to the incident plane (P). Light polarized perpendicular to the incidence plane (S) is reflected out the cavity.



Divergence of the laser beam: Figure of Merit M²



Perfect Hermite Gaussian laser beam

The quality factor, M²

(called the "M-squared" factor), is defined to describe the deviation of the laser beam from a theoretical Hermite-Gaussian beam. $M^2 = \frac{w_{0,R} \times \theta_{0,R}}{w_0 \times \theta_0}$

For CW lasers and helium neon lasers, 1.1<M²<1.3 less than 1.1;

For diode lasers 1.1<M²<1.7

Wavelength of lasers and laser choice

Ar+: 351.1; 364; **457.9; 488; 514.5** Nd-YAG+: 256; 365; **532; 1064;** Kr⁺: 350.7; 406.7; 413.1; 530.9; **647.1**; 676.4

Laser diodes: 405; 635; 660; 785



The choice of the excitation source

- luminescence of the usual samples;
- Consequences on optics, gratings, detector

Rejection of λ_0

- either by a double or triple spectrometer
- either by a rejection filter with DO = 6

$$\log\!\left(\frac{I_{transmitted}}{I_{0,incident}}\right) = -DO = -6$$

- super-Notch filter: well centred on λ_0 30 cm⁻¹
- edge filter: high band pass filters.

Rejection of λ_0 and Raman lines separation



GRATINGS to separate the different radiations

• Gratings work either in transmission or in reflection

• Transmission gratings are made of parallel elongated domains which transmit the light and opaque domains: thus they can be considered as arrangement of parallel slits corresponding to the transmission zones

• Reflection gratings are an assembly of elongated mirrors acting as slits; the grooves are the opaque parts.

PHYSICS MODELS: ARRANGEMENT OF MANY PARALLEL EQUIDISTANT SLITS WITH THE SAME WIDTH

1 SLIT - 2 SLITS – N SLITS

GRATING THEORY: 1 single slit: Fraunhoffer slit



EMU-CNRS International School: Applications of Raman Spectroscopy

GRATING THEORY: 1 single slit





- Symmetric distribution of maxima and minima
- Secondary maxima for $\beta = (2k+1)\frac{\pi}{2} = \frac{\pi b \sin \theta}{\lambda} \Rightarrow \sin \theta_{\max} = \frac{(2k+1)\lambda}{2b}$ • Secondary minima for $\beta = (2k+1)\pi = \frac{\pi b \sin \theta}{\lambda} \Rightarrow \sin \theta_{\min} = \frac{(2k+1)\lambda}{b}$
- Width of central max $\approx 2 (\lambda/b)$ $\theta \rightarrow 0$ if b is large with respect to λ : point source
- if $(\lambda/b) \approx 1$, then at k=0, $\theta_{\min} \approx \pi/2 =>$ large central maxima

GRATING THEORY: 2 single slits



GRATING THEORY: 2 single slits



When the slits are narrow, the positions of the maxima are determined mainly by $\cos^2\gamma$

Maxima occur for $\cos^2 \gamma = 1$

$$\gamma = (\pi/\lambda)d\sin\theta = 0, \pi, 2\pi, 3\pi, \dots$$
$$d\sin\theta = 0, \lambda, 2\lambda, 3\lambda, \dots m\lambda$$

GRATING THEORY: N single slits



GRATING THEORY: N single slits

$$I = 2 I_0 \times \left(\frac{\sin^2 \beta}{\beta^2}\right) \times \frac{(\sin N \gamma)^2}{(\sin \gamma)^2}$$
$$\gamma = \frac{\pi \times d \times \sin \theta}{\lambda}$$

$$\frac{(\sin N\gamma)}{(N\sin\gamma)} \to \pm 1 \text{ when } \gamma \to m\pi \qquad \text{Maximum value}$$

$$d \times \sin \theta = m \times \lambda = 0, \lambda, 2\lambda, 3\lambda, ..., m\lambda$$

GRATING THEORY: grating equation

$$d \times (\sin i + \sin \theta) = m \times \lambda = 0, \lambda, 2\lambda, 3\lambda, ..., m\lambda$$

 \mathcal{M} : diffraction order



GRATING THEORY: grating equation





http://hyperphysics.phy-astr.gsu.edu/

GRATING DIFFRACTION



GRATING DIFFRACTION and Raman spectrum



GRATING DIFFRACTION and grooves density

$$d \times (\sin i + \sin \theta) = m \times \lambda$$

groove density:
$$G = \frac{1}{d}$$
 = number of grooves/mm
G = 150 - 3000 - 6000(VPHG)

$$\sin i + \sin \theta = G \times m \times \lambda$$

at constant m and wavelength, diffraction angle is proportional to groove density

RULED GRATING and HOLOGRAPHIC GRATING



GRATING DIFFRACTION and Raman spectrum Angular dispersion versus wavelength

Dispersion on the screen of the different wavelengths for a given diffraction order results in different angular dispersion

- 0

$$d \times (\sin i + \sin \theta) = m\lambda$$
 and $AD \equiv \frac{d\lambda}{d\theta}$
 $(d\cos\theta)d\theta = m\frac{d\lambda}{d\theta} \Rightarrow AD = \frac{m}{d\cos\theta} = \frac{Gm}{\cos\theta}$

AD : proportional to m and G





The spectral resolution is proportional to the length of the grating (perpendiculary to the grooves)

GRATING DIFFRACTION and Resolution in wavenumber scale

Wave-vector
$$k = 2\pi/\lambda$$
 $\lambda/\Delta\lambda = k/\Delta k = mN$
 $k = 2\pi\overline{v} \Rightarrow \Delta k = 2\pi \times \overline{\Delta v} \Rightarrow \overline{\Delta v} = \frac{\overline{v}}{mN} = \frac{1}{\lambda mN}$

At the first order, if
$$d \approx \lambda, d = \alpha \times \lambda$$
 $\Delta \overline{\nu_{\min}} = \frac{\alpha}{d \times N} = \frac{\alpha}{L_g}$

 $\lambda = 0.5 \,\mu\text{m}$ $L_g = 10 \,\text{cm}$ $\Rightarrow \Delta V_{\text{min}} = \frac{1}{10} = 0.1 \,\text{cm}$ EMU-CNRS International School: Applications of Raman Spectroscopy to Earth Sciences and cultural Heritage : 14-16th of june 2012

GRATING DIFFRACTION and line intensity



The incident angle vs the blaze angle

The polarization state of the incident radiation

Efficiency curves are function of wavelength: 1) Absolute = Incident(λ)/Diffracted(λ)

2) Relative = Diffracted(λ)/Reflected(λ) by mirror with same coating

GRATING DIFFRACTION and line intensity



Blaze angle, maximum efficiency

Grating efficiency depends on

Wavelength, polarization in incident light, incidence angle, diffraction order, groove profile and coating material.

Selection of the grating from the exciting radiation wavelength

CZERNY-TURNER SPECTROMETER: Basic principles



$$2 \times \sin\left(\frac{i+\theta}{2}\right) \times \cos\left(\frac{\theta-i}{2}\right) = 2 \times \sin\left(\frac{i+\theta}{2}\right) \times \cos\left(\frac{D_{v}}{2}\right) = G \times m \times \lambda$$

CZERNY-TURNER SPECTROMETER: Actual optical design



CZERNY-TURNER SPECTROGRAPH: Actual optical design

Crossed Czerny Turner Spectrometer

source input grating linear array detector opticalea.com

Spectrometer Image Plane

CZERNY-TURNER SPECTROGRAPH: Actual optical design



$$2 \times \sin \omega \times \cos \varphi = G \times m \times \lambda$$

CZERNY-TURNER SPECTROGRAPH: Sine bar for grating rotation



DETECTORS





CCD DETECTORS

Invented in 1969 at <u>AT&T Bell Labs</u> by <u>Willard Boyle</u> and <u>George E. Smith</u> Nobel Prize of Physics in 2009

2D Array of individual « detectors » = pixels

1024 x 256 pixels (26 µm x 26 µm)

Formation of an electron/hole pair in p-dopped silicon layer if E(photon) > Si band gap

200-1100 nm



Front or back illuminated: consequences on quantum efficiency

Electrons are stored in a potential well characterized by is Full Well Capacity and read-out by an electrode

Cooled at -90 °C (Pelletier effect) or -130°C (liquid N₂) to eliminate thermal noise

CCD Detectors: quantum efficiency curves

Quantum efficiency $Q_E(\lambda)$ = number of electrons generated per incident photon



CCD : intensity and wavenumber coding



different radiations: deduced from the angular dispersion produced by the grating Array detector

Mirror 1

CCD : wavenumber coding

Average wavelength dispersion or Reciprocal Linear Dispersion



RLD is linked to *AD* by
$$\frac{d\lambda}{dx} = \frac{d\lambda}{d\omega} \times \frac{d\omega}{dx}$$
 $RLD = AD \times \frac{d\omega}{dx}$

Where ω is the rotation angle of the grating

For a spectrometer with a *focal distance f* $dx = f \times d\omega$

For a Czerny-Turner spectrograph

$$2 \times \sin\left(\frac{i+\theta}{2}\right) \times \cos\left(\frac{\theta-i}{2}\right) = 2 \times \sin\left(\frac{i+\theta}{2}\right) \times \cos\left(\frac{D_{\nu}}{2}\right) = G \times m \times \lambda$$

$$\frac{d\lambda}{dx} = \frac{2d}{f \times m} \times \cos \omega \times \cos \varphi = \frac{2\cos \omega \times \cos \varphi}{G \times f \times m}$$

CCD : wavenumber coding

$$\frac{d\lambda}{dx} = \frac{2d}{f \times m} \times \cos \omega \times \cos \varphi = \frac{2\cos \omega \times \cos \varphi}{G \times f \times m}$$

Numerical application: small ω angle (cos ω =1); f = 800 mm; grooves d = 0.5 µm; 1st order diffraction; ϕ = 30 °

$$\frac{d\lambda}{dx} = \frac{2 \times 0.5 \times \cos(30^\circ)}{800} = 1.08 \times 10^{-3} \,\mu\text{m.mm}^{-1}$$
In wavenumber scale
$$\frac{d\overline{v}}{dx} = \frac{d\overline{v}}{d\lambda} \times \frac{d\lambda}{dx}$$
As
$$\overline{v} = 1/\lambda \quad \frac{d\overline{v}}{d\lambda} = -\frac{1}{\lambda^2} = -\overline{v}^2 \Rightarrow \frac{d\overline{v}}{dx} = -\overline{v}^2 \times \frac{d\lambda}{dx}$$

At 1000 cm⁻¹ Raman shift / 514.5 nm $v_{laser} = 19435 \text{cm}^{-1} \Rightarrow v_{1000\text{cm}-1} = 18435 \text{cm}^{-1}$

$$\frac{\Delta v}{\Delta x} = 367 \text{ cm}^{-1} / \text{ cm} \Longrightarrow \approx 880 \text{ cm}^{-1} \text{ coverage of CCD}$$

CCD : wavenumber coding

$$\frac{\Delta v}{\Delta x} = 367 \text{ cm}^{-1} / \text{ cm} \qquad 1 \text{ pixel } 26 \text{ } \mu\text{m} = 2.6 \text{ } 10^{-3} \text{ cm}$$

1 pixel 26 µm corresponds to 0.95 cm⁻¹ /pixel = pixel size resolution



Limiting resolution of the spectrometer including the detector

$$\Delta \overline{\nu}_{spectrometer} = \delta \overline{\nu}_{pixel} \times 3 = \left(\frac{\Delta \overline{\nu}}{\Delta x}\right) \times \delta w_{CCD} \times 3$$

Spectral resolution

$$SR_{\lambda} = \sqrt{(\Delta \lambda_{slit})^2 + (\Delta \lambda_{spectrometer})^2}$$

$$SR_{\overline{\nu}} = \sqrt{\left(\Delta\overline{\nu}_{slit}\right)^2 + \left(\Delta\overline{\nu}_{spectrometer}\right)^2}$$

$$\Delta \overline{v}_{slit} = \gamma \times l \times \frac{d\overline{v}}{dx}$$

$$\gamma$$
: magnifying power of the spectomer
 $\gamma = \frac{\cos(i)}{\cos(\theta)} \times \frac{L_A}{L_B}; \frac{L_A}{L_B} = 1 \text{ and } \gamma \approx 1$

l =slit width

Thus, for the same numerical application

$$\frac{d\bar{v}}{dx} = 367 \text{ cm}^{-1}/\text{cm}, l = 100 \text{ } \mu\text{m} \qquad \Delta \bar{v}_{slit} = 3.67 \text{ cm}^{-1}$$
$$SR_{\bar{v}} = 4.74 \text{ cm}^{-1}$$

Band Shape

$$F(\overline{\nu}) = \int_{0}^{x} L(\overline{\nu}) \times A(\overline{\nu}, \overline{\nu}_{0}) \times d\overline{\nu} = L(\overline{\nu}) \otimes A(\overline{\nu}, \overline{\nu}_{0})$$

Source Apparatus function



Modification of the band profile by the instrument.

Lorentzian => Gaussian, mixtures

Condition of no modification of the band profile and no enlargement:

Instrumental resolution < 1/5 FWHM of natural profile

Coupling sampling system with spectrometer



Optimum coupling conditions: constant flux of photons transported from the sample to the detector without any loss (except those resulting from absorption): Etendue or throughput is constant

Radiometric calculations

Calculation of number of Raman photons from the source

Calculation of number of Raman photons collected by the sampling system (lens, microscope objective)

from the value of transmission of each optical element ($T = I/I_0$),

from the value of the QE of the detector, the number of photo-electrons can be calculated.

Spatial resolution of confocal Raman microspectrometers



Degradation of spatial resolution by refraction Use of immersion objective



RAMAN SAMPLING VOLUME



From Delhaye and Dhamelincourt